Attorney Docket No. ITI-169

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

KEVAN C. TAYLOR

Serial No.:

08/604,975

Filed:

March 25, 1996

International Application No.:

PCT/GB94/00995

International Filing Date:

9 May 1994

For:

LINING OF

PIPELINES

OR

PASSAGEWAYS

January 9, 1997 Date:

SUPPLEMENTAL SUBMISSION IN SUPPORT OF RENEWED PETITION UNDER 37 C.F.R. § 1.47(b) IN RESPONSE TO DECISION ON PETITION PURSUANT TO 37 C.F.R. § 1.47(b) DATED 12 JULY 1996

Assistant Commissioner for Patents Box PCT Washington, D.C. 20231

RECEIVED

Attention: PCT Legal Office

**22** JAN 1997

SIR:

**Legal Staff** International Division

This Supplemental Submission In Support Of Renewed Petition is submitted along with the accompanying Declaration of Michael I. Wolfson in further support of the Renewed Petition filed on December 2, 1996. As noted in the Renewed Petition further multiple efforts to reach the inventor were made in December 1996. Thus, this is submitted to present a complete set of facts before the PCT Legal Office and to provide support and confirmation of three further attempts at delivery of letters addressed to Mr.

Kevan C. Taylor at his last known address in the United States, which is 501 Davis Road #H201, League City, TX 77573.

As set out in Applicant's Renewed Petition dated December 12, 1996, Michael I. Wolfson, the undersigned attorney for Petitioner, on December 11, 1996, immediately upon being advised of a more recent address for the inventor Kevan C. Taylor, prepared a new set of application papers and forwarded them to Mr. Taylor at the League City, Texas address both by Federal Express and Express Mail delivery. Prepaid return airbills and postage were provided to Mr. Taylor to facilitate his return of the documents to the undersigned. In addition, Mr. Wolfson also forwarded another set of application papers to Mr. Taylor by First Class Mail on December 17, 1996. This was done since First Class Mail will be forwarded if Mr. Taylor had moved from his last known address in League City, Texas without the need for signature.

These latest multiple diligent efforts to contact Mr. Taylor were all without success. The undersigned has been unable to obtain any response to the Federal Express, Express Mail or First Class Mail efforts to reach Mr. Taylor. There has been no communication or telephone call in response to the First Class mailing and no response from Mr. Taylor.

Filing of the application by the 37 C.F.R. § 1.47 (b) applicant is necessary to preserve the rights of Insituform and to prevent irreparable damage. The priority date of the underlying application, is 25 September 1993, within the 30 month limit allowed by the Patent Cooperation Treaty and 35 U.S.C. § 119, and

the U.S. application is therefore entitled to the priority date of the PCT application. Insituform will be irreparably harmed by the loss of this priority date and will not be able to preserve its rights to this invention under Title 35 if this Petition is denied.

Petitioner has responded fully to the Legal Examiner's Decision on Petition in December. It respectfully requests a favorable response to this Renewed Petition and that Petitioner be allowed to fully prosecute this application on the merits on behalf of and as agent for the inventor. The additional, multiple attempts to reach Mr. Taylor discussed in the Renewed Petition and herein above have all been made at his most recent last known address to no avail. Thus, the requirement of 37 C.F.R. § 1.47(b) have been diligently and completely met.

If there is any question with respect to this Petition, kindly contact the undersigned attorney by telephone so that any outstanding issue can be quickly resolved.

Early and favorable action is earnestly solicited.

Respectfully submitted,

Michael I. Wolfson

Reg. No. 24,750

Attorney for Petitioner and 37 C.F.R. § 1.47(b) Applicant COWAN, LIEBOWITZ & LATMAN, P.C. 1133 Avenue of the Americas New York, New York 10036-6799 (212) 790-9200

Attorney Docket No. ITI-169

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: KEVAN C. TAYLOR

Serial No.: 08/604,975

Filed: March 25, 1996

International Application No.: PCT/GB94/00995

International Filing Date: 9 May 1994

For: LINING OF PIPELINES OR

PASSAGEWAYS

## SUPPLEMENTAL DECLARATION OF MICHAEL I. WOLFSON IN SUPPORT OF RENEWED PETITION UNDER 37 C.F.R. § 1.47(b)

Assistant Commissioner for Patents Box PCT Washington, D.C. 20231

Attention: PCT Legal Office

SIR:

## MICHAEL I. WOLFSON, hereby declares as follows:

- 1. I am a partner at Cowan, Liebowitz & Latman, P.C., attorneys for Insituform Technologies, Inc., 1770 Kirby Parkway, Suite 300, Memphis, Tennessee 38138 ("ITI"). ITI is the ultimate corporate parent of all Insituform companies, including Insituform (Netherlands) B.V., the 37 C.F.R. § 1.47(b) applicant herein. I make this Supplemental Declaration in support of the Renewed Petition to accept this application pursuant to 37 C.F.R. § 1.47(b) and describe the efforts which I took upon learning of a new address for Kevan C. Taylor, the inventor who cannot be reached and the results of such efforts.
- 2. On December 11, 1996, as I was completing the Renewed Petition, I learned of a new address for Kevan C. Taylor and

prepared additional new application papers including a Declaration and Assignment for Mr. Taylor's signature. As described in my December 12, 1996 Declaration, these papers were forwarded to Mr. Taylor by Federal Express and Express Mail. I also included a prepaid Federal Express airbill and a completed Express Mail airbill with postage to facilitate Mr. Taylor's return of the signed documents to me. Copies of the letters I forwarded to Mr. Taylor by Federal Express and Express Mail on December 11, 1996 together with the enclosures, the return Federal Express airbill and envelope showing the completed Express Mail airbill and postage are annexed to my Declaration of December 12, 1996 as Exhibit A.

- 3. On December 17, 1996, I mailed a further letter to Mr. Taylor by first class mail. I included a copy of my December 11, 1996 letter with a new set of application papers for his signature. A copy of my December 17, 1996 letter and enclosures is annexed as Exhibit 1.
- 4. On December 18, 1996, I requested through my secretary Ms. Angie Carle, and received via telefax directed to her on that date, a copy of which is attached as Exhibit 2, a confirmation of delivery of Federal Express Package #8494755835, which was sent to Mr. Taylor on December 11, 1996.
- 5. On December 30, 1996, the December 11, 1996 Express Mail package was returned to me. The United States Postal Service's notations on the December 11, 1996 letter indicate that delivery to Mr. Taylor at the League City, Texas address was attempted on December 13, 15 and 20th, 1996 and that the Postal Service was

unable to deliver the Express Mail package to Mr. Taylor. A copy of the label bearing the Postal Office delivery notations is attached as Exhibit 3.

- 6. To date, I have received no communication or response whatever from Mr. Taylor in relation to this matter, despite these three attempts to communicate with him at his last known address.
- 7. I have again reviewed the multiple efforts made by both my office and Mr. Donlan in the U.K. to reach Mr. Taylor at each of his three last known addresses and again respectfully submit that these efforts more than satisfy the diligent efforts requirement of 37 C.F.R. §1.47(b). In view of the extensive efforts made by petitioner, it is respectfully submitted that this Petition under 37 C.F.R. §.147(b) should be accepted.
- 8. I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

MICHAEL I. WOLFSON

Date: New York, New York January 9, 1997

Exhibit 1

## Cowan, Liebowitz & Latman, P.C.

LAW OFFICES

1133 Avenue of the Americas • New York, NY 10036-6799

Telephone (212) 790-9200 • Internet law@cll.com • Fax (212) 790-9300

Michael I. Wolfson Direct (212) 790-9201 miw@cll.com

December 17, 1996

### VIA FIRST CLASS MAIL

Mr. Kevin C. Taylor 501 Davis Road #H201 League City, TX 77573

Re: U.S. Application Serial No. 08/604,975
Based on International Patent Application

No. PCT/GB94/00955

LINING OF PIPELINES AND PASSAGEWAYS (Short-Tee Repair)

Insituform (Netherlands) BV Our Ref. ITI-169 (20107.69)

Dear Mr. Taylor:

Enclosed is a copy of our letter forwarded to you by Federal Express and Express Mail on December 11, 1996.

We understand from Federal Express that the envelope was delivered to a V. Verinder at Apt. H101 who signed on your behalf on December 13, 1996. Two attempts to deliver the Express Mail envelope have been made and it is presently being held for processing.

We enclose an additional set of the document papers and appreciate you returning to us the document papers in the enclosed postage paid envelope.

Thank you for your cooperation.

Michael I. Wolfson

Enclosures

cc: Insituform Technologies, Inc.

Memphis, Tennessee

## Cowan, Liebowitz & Latman, P.C.

LAW OFFICES



1133 Avenue of the Americas • New York, NY 10036-6799

Telephone (212) 790-9200 • Internet law@cll.com • Fax (212) 790-9300

Michael I. Wolfson Direct (212) 790-9201 miw@cll.com

December 11, 1996

### FEDERAL EXPRESS and EXPRESS MAIL

Mr. Kevin C. Taylor 501 Davis Road #H201 League City, TX 77573

Re: U.S. Application Serial No. 08/604,975
Based on International Patent Application

No. PCT/GB94/00955

LINING OF PIPELINES AND PASSAGEWAYS (Short-Tee Repair)

Insituform (Netherlands) BV Our Ref. ITI-169 (20107.69)

Dear Mr. Taylor:

We have been unsuccessful in reaching you after several attempts in order to have you sign the United States Declaration and Assignment in connection with this United States Application. This application is the United States national phase application based on your British and PCT applications filed in the United Kingdom relating to your Short-Tee Repair development.

In view of our inability to reach you after several attempts to your Knight Lane address in Memphis and your last known in the United Kingdom, we filed the application under Rule 47(b). This Rule permits the owner of an application to file the application without the inventor's signature if it has been unable to reach the inventor after diligent effort. We believe we have made this diligent effort. However, today we learned that you have utilized this League City address in a mortgage application and a verification of employment was sent to Insituform Technologies in Memphis in October of this year.

Our earlier efforts to reach you included letters to your Knight Lane address on February 9, 1996, August 23, 1996 and again on October 4, 1996. As noted, several attempts were also made by Andrew Donlon to contact you at Neweys Hill, Worcester. All these efforts to reach you have been unavailing.

Cowan, Liebowitz & Latman, P.C. December 11, 1996 Page 2

In view of our obligation to make a diligent effort to obtain your signature, we enclose a new Declaration and Assignment for your signature. Please insert your address and sign and date the Declaration at page 2. Please sign the Assignment and have it notarized. Only the Assignment need be notarized.

We have enclosed prepaid Federal Express and Express Mail air bills to facilitate your returning the signed documents to us.

If you have any questions concerning any of these documents or the procedures we have followed in connection with this application, please feel free to contact us by collect call.

Please give this matter your prompt attention so that we can promptly advise the United States Patent and Trademark Office whether you have signed the application declaration or whether the Patent Office must make a decision to proceed pursuant to Rule 47(b).

Thank you for your cooperation with this matter.

Sincerely,

Michael I. Wolfson

cc: Insituform Technologies, Inc. Memphis, Tennessee

## ASSIGNMENT OF UNITED STATES PATENT APPLICATION

	WHEREAS, KEVAN CH				. IS THE THACH	·OI
		AGEWAYS, and	filed in the U.S.	Patent and	Frademark Offi	ice
Netherlands, he	WHEREAS, Institutorm (Ind Delaware, having its princereinafter referred to as ASSIC he improvements and inventorational Phase application Se	ipal office at we GNEE, is desirous ion described in l	of acquiring the PCT Application	entire right, tit No. PCT/GB	le and interest i	in,
acknowledged and under the PCT/GB94/00	NOW, THEREFORE, in c deration paid by ASSIGNEE , ASSIGNOR hereby transfers improvements and invention 995 filed in the U.S. Patent are eissues thereof; and	e to ASSIGNOR, and assigns to A described in the U and Trademark Off	the sufficiency is SSIGNEE the entired States Natifice and all contin	and receipt of hire right, title onal Phase app mations, divisi	and interest in, plication based onals, substitute	to on es,
has not execut	ASSIGNOR covenants thated, and will not execute, any	agreement in cor	illict nerewith; at	ıa		_
States, to rec PCT/GB94/00	ASSIGNOR further authorord ownership in the U.S. 995 as the property of ASSIG	national phase aj	sioner of Patents oplication based	and Tradema on U.S. PCT	rks of the Unit Application N	ted Vo.
any legal proc	ASSIGNOR further cover gal representatives and assign eeding, sign all lawful papers, and generally do everything to o obtain and enforce proper p	s, any facts known , execute all division possible to aid the	onal, continuing a ASSIGNEE, its	and reissue app successors, le	lications, make	all
·.	IN WITNESS WHEREO	F. ASSIGNOR h	as subscribed her	eto, this	day of Decemb	ber,
1996.	21 11 22 2				•	
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		KEVA	CHARLES TA	YLOR	• • •	
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known and k	nown to me to be the individu	cember 1996 befo	re me came KEV nd who executed	AN CHARLE	S TAYLOR to instrument, and	me i he
has acknowle	dged to me that he has execu	ned the same.				•
· .				•		
			•			
		•	Notary Public			

## DECLARATION, POWER OF ATTORNEY AND PETITION

As an officer of the owner of the invention and Agent of the below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe that the below named inventor is the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

# IMPROVEMENTS RELATING TO THE LINING OF PIPELINES OR PASSAGEWAYS

the specification of which is attached hereto and was filed on 9 May 1994 as PCT/GB94/00995 and is based on an application filed in the British Patent Office as British Application No. 9319832.3 on 25 September 1993 and filed in the United States Patent and Trademark Office as Serial No. 08/604,975 on March 25, 1996.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

·	Prior Foreign Appl	ications	·	
21.1.3	(Country)	Day/Month/Year	Yes	No
(Number)	Great Britain	25 Sept 1993	X	
9319832.3		9 May 1994	х	
PCT/GB94/00995	Great Britain	9 1vlay 1774		

I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, Section 1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of the application.

•	•		•	·			 <u> </u>	
(App Ser No)	(I	iling Dat	<b>(2)</b>	•	•.	(Status)		

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that

Attorney Docket No. ITI-169

these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

And I hereby appoint Michael I. Wolfson, Reg. No. 24,750, William H. Dippert, Reg. No. 26,723, R. Lewis Gable, Reg. No. 22,479, Morey B. Wildes, Reg. No. 36,968 and Regan L. Trumper, Reg. No. 38,345, correspondence address:

MICHAEL I. WOLFSON Cowan, Liebowitz & Latman, P.C. 1133 Avenue of the Americas New York, New York 10036

Telephone:

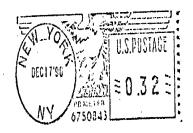
(212) 790-9200

my attorney with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.

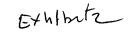
Wherefore I pray that Letters Patent be granted for the invention or discovery described and claimed in the foregoing specification and claims, and I hereby subscribe my name to the foregoing specification and claims, declaration, power of attorney, and this petition

etition.		CITIZENSHIP	
FULL NAME OF INVENTOR KEVAN CHARLES TAYLOR	· 	Great Britain	
		<del></del>	
RESIDENCE			
POST OFFICE ADDRESS			
AGENT'S SIGNATURE		DATE	
•	:		

Cowan, Liebowitz & Latman, P.C. 1133 Avenue of the Americas New York, NY 10036-6799



COWAN, LIEBOWITZ & LATMAN, P.C. 1133 Avenue of the Americas New York, NY 10036-6799 Michael I. Wolfson, Esq.



Foderal Express Corporation hadding surgicing Danesio Trace 3276 Aiways Boule-and Module H, 4th Floor Marpis, TNG2118

U.S. Mail: PS Box 727 Mamphis, TN 39 194-4843 Telephone 901-389-8800



December 18, 1996

ANGIE CARR (212) 790-9300

This is in response to your request for proof of delivery for package tracking number 8494755835. You will find the delivery information below.

SHIPMENT INFORMATION:

Tracking No: 8494755835

Ship Date: December 11, 1996

Shipper: DALILAH GLENN

Recipient: TAYLOR, KEVIN C

COWAN LIEBOWITZ LATMAN 1133 AVENUE OF AMERICAS 501 DAVIS H201 LEAGUE CITY, TX 77573

US

NEW YORK, NY 10036 US

**DELIVERY INFORMATION:** 

Signed For By: V.VERINDER

Delivered to: H101

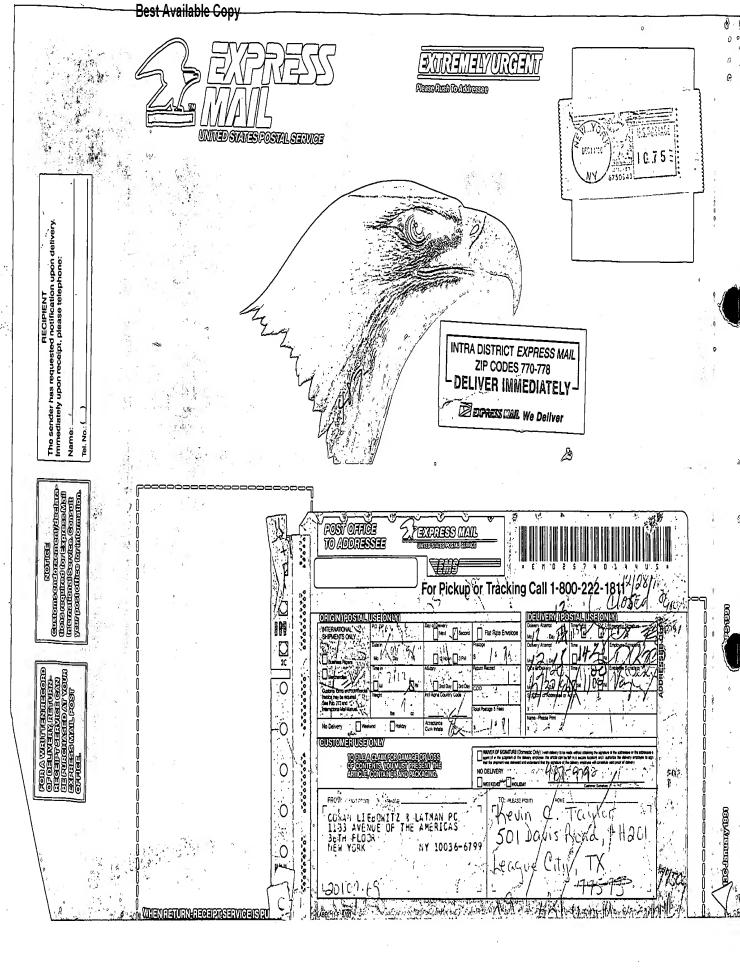
Delivery Date: December 12, 1996

Delivery Time: 03:46 PM

Your business is greatly appreciated and we look forward to the opportunity of serving your future express shipping needs.

Federal Express Corporation Worldwide Customer Service 1-800-GO-FEDEX

Reference No: 1217028189



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